

11/19/99  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)</b> <i>Only for new nonprovisional applications under 37 C.F.R. 1.53(b)</i>	Docket No.: <b>2836-C</b>
	Express Mail Label No.: <b>EL333160241US</b>

**TO THE ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**DENDRITIC CELL STIMULATORY FACTOR**

and invented by:

**David H. Lynch, Luis Borges, Robert E. Miller and Charles R. Maliszewski**

jc690 U.S. PTO  
09/444027  
11/19/99

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation      ☐ Divisional      ☒ Continuation-in-part (CIP)

of prior application No.: 09/154,903

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification including claims and abstract ( 33 pages total)
3. ☐ Drawing(s); Number of Sheets \_\_\_\_\_
4. ☐ Oath or Declaration
  - a. ☐ Newly executed
  - b. ☐ Copy from a prior application (37.C.F.R. 1.63(d)) (*for continuation/divisional application only*)
  - c. ☐ With Power of Attorney      ☐ Without Power of Attorney
  - d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Paper copy
    - ☐ Pages \_\_\_\_\_ - \_\_\_\_\_ of specification
    - ☐ Separately numbered pages \_\_\_\_\_ - \_\_\_\_\_
  - b. ☐ Computer Readable Copy
  - c. ☐ Statement Verifying Identical Paper and Computer Readable Copy
  - d. ☐ Statement under 37 C.F.R. 1.821(e) in lieu of Computer Readable Copy

09/444027 - 444027

## UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Docket No.: 2836-C

## Accompanying Application Parts

8. ☐ Assignment
- a. ☐ Executed original Assignment and Recordation Form enclosed
- b. ☐ Prior application is assigned of record to Immunex Corporation  
(reel \_\_\_\_\_ frame \_\_\_\_\_)
9. ☐ 37 C.F.R. 3.73(B) Statement (*when there is an assignee*)
10. ☐ Please amend the specification as follows:
- ☐ On page , line , after "This application is a" insert --continuation of application serial No. , filed , currently pending, which is a--.
- ☐ On page , line , insert:
11. ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (*At least one original independent claim must be retained for filing purposes.*)
12. ☒ Acknowledgment postcard
13. ☒ Certificate of Mailing by Express Mail (Label No.: EL333160241US)
14. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
15. ☐ Additional Enclosures (*please identify below*):

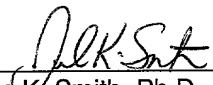
## Fee Calculation and Transmittal

CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	14	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	4	- 3 =	1	x \$78.00	\$78.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE ( <i>specify purpose</i> )					\$0.00
TOTAL FILING FEE					\$838.00

- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below. A copy of this sheet is enclosed.
- ☒ Charge the amount of \$838.00 as a filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 C.F.R. 1.16 and 1.17.

Correspondence address:

Immunex Corporation  
Law Department  
51 University Street  
Seattle, Washington 98101  
Telephone: (206) 587-0430

  
Julie K. Smith, Ph.D.  
Registration No. 38,619

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Attorney Docket No. : 2836-C

David H. Lynch, et al.

Serial No.: --to be assigned--

Group Art Unit: Unknown

Filed: November 19, 1999

Examiner: Unknown

For: DENDRITIC CELL STIMULATORY FACTOR

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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I hereby certify that the enclosed correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date listed below, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Postcard  
Transmittal Letter (2 pgs. + 1 copy )  
Specification including claims and abstract (33 pgs.)

Date:

November 19, 1999

Signed:

Camilla C. Edwards  
Camilla C. Edwards

NOV 19 1999

Immunex Corporation

2836-C

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**TITLE****DENDRITIC CELL STIMULATORY FACTOR****RELATED APPLICATIONS**

This application is a continuation-in-part of U.S. Application Serial No. 09/154,903, filed September 17, 1998, now pending, which is a continuation-in-part of U.S. Application Serial No. 08/725,540, filed October 3, 1996, now pending, which is continuation-in-part of U.S. Application Serial No. 08/539,142, filed October 4, 1995, now pending.

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**FIELD OF THE INVENTION**

The present invention relates to a dendritic cell stimulatory factor, to methods of enhancing an immune response in vivo, methods of expanding dendritic cells ex vivo, and to preparations of purified dendritic cells, and to dendritic cell populations useful in the manipulation of T cell-mediated and B-cell mediated immune responses.

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**BACKGROUND OF THE INVENTION**

The objective of vaccination is to provide effective immunity by establishing adequate levels of antibody and a primed population of cells that can rapidly expand on renewed contact with antigen. The first contact with antigen during vaccination must not be injurious to the recipient and thus usually consists of pathogenically-deficient antigen.

A frequent difficulty with active immunization protocols is that the vaccine antigen does not possess sufficient immunogenicity to promote a strong immune response, and therefore a sufficient level of protection against subsequent challenge by the same antigen. In addition, certain antigens may elicit only weak cell-mediated or antibody responses. For many antigens, both a strong humoral response and a strong cell-mediated response is desirable.

For decades, researchers have experimented with diverse compounds to increase the immunogenicity of vaccines. Immunopotentiators, also known as adjuvants, of vaccines are compositions of matter that facilitate a strong immune response to a vaccine. In addition, the relatively weak immunogenicity of certain novel recombinant antigens has required adjuvants to be more potent. Vaccine adjuvants have different modes of action, affecting the immune response both quantitatively and qualitatively. Such modes of action can be by mobilizing T cells, acting as depots and altering lymphocyte circulation so that these cells remain localized in draining lymph nodes. They may also serve to focus antigen at the site of immunization, thereby allowing antigen specific T cells and B cells to interact more efficiently with antigen-presenting cells. They may also stimulate proliferation and differentiation of T cells and have effects on B cells, such as enhancing the production of different Ig isotypes. Further, adjuvants may stimulate and affect the behavior of antigen-presenting cells, particularly dendritic cells and macrophages, rendering them more effective for presenting antigen to T cells and B cells.

Dendritic cells are a rare and heterogeneous cell population with distinctive morphology and a widespread tissue distribution. A discussion of the dendritic cell system and its role in immunogenicity is provided by Steinman, R.M., *Annu. Rev. Immunol.*, 9:271-296 (1991), incorporated herein by reference. Dendritic cells display an unusual cell surface phenotype and can be characterized by the presence of the cell surface markers CD1, CD4, CD86, CD11c, DEC-205, CD40 or HLA-DR, and the absence of CD14 and other lineage markers. Dendritic cells have a high capacity for sensitizing MHC-restricted T cells and provide an effective pathway for presenting antigens to T cells in situ, both self-antigens during T cell development and foreign antigens during immunity. Thus, there is growing interest in using dendritic cells ex vivo as tumor or infectious disease vaccine adjuvants. See, for example, Romani, et al., *J. Exp. Med.*, 180:83 (1994). The use of dendritic cells as immunostimulatory agents has been limited due to the low frequency of dendritic cells in peripheral blood, the limited accessibility to lymphoid organs and the dendritic cells' terminal state of differentiation. Dendritic cells originate from CD34+ bone marrow progenitors, and the proliferation and maturation of dendritic cells can be enhanced by the cytokines GM-CSF (sargramostim, Leukine<sup>®</sup>, Immunex Corporation, Seattle,

Washington), TNF- $\alpha$ , c-kit ligand (also known as stem cell factor (SCF), steel factor (SF), or mast cell growth factor (MGF)) and interleukin-4. Therefore, an agent that stimulated the generation of large numbers of functionally mature dendritic cells in vivo or in vitro would be of wide importance.

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### **SUMMARY OF THE INVENTION**

Flt3-ligand ("flt3-ligand," "flt3-L," "Flt3-L" ) is known to affect hematopoietic stem and progenitor cells. It was surprisingly found that flt3-ligand can also potentially stimulate the generation of downstream or intermediate, cells such as myeloid precursor cells, monocytic cells, macrophages, B cells, and dendritic cells from CD34<sup>+</sup> bone marrow progenitors and stem cells. The present invention pertains to a method of mobilizing dendritic cells in vivo, expanding dendritic cells ex vivo and to preparations of dendritic cells. The preparation of purified dendritic cells according to the invention would potentially find use as vaccine adjuvants. Also included within the embodiments of the invention is a method of preparing antigen-specific T cells using the dendritic cells mobilized with flt3-ligand.

The invention provides for the use of an effective amount of flt3-ligand to increase or mobilize the numbers of intermediate cells in vivo, for example, in the patient's peripheral blood or spleen. While the invention relates to the generation of large numbers of such downstream and intermediate cells (e.g., myeloid cells, monocytic cells and macrophages) from CD34<sup>+</sup> cells using flt3-ligand, the focus is particularly on dendritic cells. By increasing the quantity of the patient's dendritic cells, such cells may themselves be used to present antigen to T cells. For example, the antigen may be one that already exists within the patient, such as a tumor antigen, or a bacterial or viral antigen. Flt3-L may be used, therefore, to increase the numbers of dendritic cells in vivo to boost a patient's immune response against existing antigens. The invention further provides for using combination therapy to enhance a patient's immune response. Such combination therapy includes administering flt3-ligand and one or more therapeutic reagents in amounts sufficiently effective to upregulate the patient's immune response. Alternatively, flt3-ligand may be administered prior to, concurrently with or subsequent to administration of an antigen to a patient for immunization purposes. Thus, as a vaccine adjuvant, flt3-ligand can

generate large quantities of dendritic cells in vivo to more effectively present the antigen. The overall response is a stronger and improved immune response and more effective immunization to the antigen.

The invention also provides a method of generating large quantities of  
5 dendritic cells ex vivo. Following collection of the patient's CD34<sup>+</sup> hematopoietic progenitors and stem cells, flt3-ligand can be used to expand such cells in vitro (also known as ex vivo expansion) and to drive such CD34<sup>+</sup> cells to differentiate into dendritic cells of the lymphoid or myeloid lineage. The resulting collection of  
10 dendritic cells can be administered to a patient to provide a stronger and improved immune response to an antigen. Alternatively, the resulting dendritic cells find use as a vaccine adjuvant and can be administered prior to, concurrently with or subsequent to antigen administration.

The invention also provides a method of generating large quantities of antigen-presenting dendritic cells ex vivo. Following collection of the patient's  
15 CD34<sup>+</sup> hematopoietic progenitors and stem cells, flt3-ligand can be used to expand such cells in vitro and to drive such CD34<sup>+</sup> cells to differentiate into dendritic cells. The resulting collection of dendritic cells is exposed to an antigen and allowed to process and present the antigen in vitro (this procedure is sometimes referred to in the art as "antigen-pulsing"). An alternate method for preparing dendritic cells that  
20 present antigen is to transfect the dendritic cells with a gene encoding an antigen-specific polypeptide. Once the dendritic cells express the antigen, the antigen-presenting dendritic cells can be administered to a patient.

The invention also provides for the ex vivo preparation of antigen-specific T cells. Following the procedures described above for preparing large numbers of  
25 antigen-presenting dendritic cells ex vivo, the collected antigen-presenting dendritic cells are used to generate antigen-specific T cells from naive T cells that have been collected from a patient. After the antigen has been adequately presented to the T cells generated, the antigen-specific T cells can be administered to the patient.

The invention also provides a method of augmenting an immune response in a  
30 patient that has an infectious disease wherein the method comprises the step of administering an amount of flt3-ligand sufficient to increase the patient's number of

dendritic cells. Embodiments of methods for augmenting an immune response include administering flt3-ligand in combination therapies with additional active compounds, including but not limited to CD40 binding proteins, 4-1BB-L, antibodies to 4-1BB, interferon alpha, RANKL, a CD30 ligand antagonist, and combinations thereof.

The invention also provides a method of augmenting an immune response in a patient that has a cancerous or neoplastic disease wherein the method comprises the step of administering an amount of flt3-ligand sufficient to increase the patient's number of dendritic cells. Embodiments of methods for augmenting an immune response include administering flt3-ligand in combination therapies with additional active compounds, including but not limited to CD40 binding proteins, 4-1BB-L, antibodies to 4-1BB, interferon alpha, RANKL, a CD30 ligand antagonist, and combinations thereof. Such method provides a means to enhance the patient's tumor-specific immune response.

A method for enhancing a patient's autoimmune tolerance wherein the method comprises the step of administering an amount of flt3-ligand sufficient to increase the patient's number of dendritic cells. Further included are methods for promoting survival of grafts and transplanted tissues and organs.

The methods of the invention can further comprise the use of an effective amount of a cytokine in sequential or concurrent combination with flt3-ligand. Such cytokines include, but are not limited to, interleukins ("ILs") IL-3 and IL-4, a colony stimulating factor ("CSF") selected from the group consisting of granulocyte macrophage colony stimulating factor ("GM-CSF") or GM-CSF/IL-3 fusions, or other cytokines such as TNF- $\alpha$ , CD40 binding proteins (e.g. CD40-L), 4-1BB antagonists (e.g., antibodies immunoreactive with 4-1BB and 4-1BB-L), interferon alpha, RANKL, CD30 ligand antagonists (e.g., CD30 ligand monoclonal antibodies and soluble CD30-Fc fusion polypeptides), and c-kit ligand.

The invention further includes a dendritic cell expansion medium comprising cell growth medium, autologous serum, and flt3-ligand alone or in combination with a cytokine from the group listed above.



**DETAILED DESCRIPTION OF THE INVENTION**

The invention is directed to the use of flt3-ligand to generate large numbers of intermediate cell types from CD34+ hematopoietic progenitor cells and stem cells. Such intermediate cell types include myeloid cells, monocytic cells, macrophages and dendritic cells. The large numbers of these intermediate cell types are not naturally found in vivo and can be generated by administering flt3-ligand. Such enhancement in overall cell number can augment the immune response to antigen in the host. Another embodiment of the invention is the isolation and use of such intermediate cell types as antigen-presenting cells or the use as vaccine adjuvants. The invention, while particularly focused on the embodiment concerning dendritic cells, is also applicable to myeloid, monocytic and macrophage cell types.

As used herein, the term "flt3-ligand" refers to a genus of polypeptides that are described in United States Patent No. 5,554,512, EP 0627487 A2 and in WO 94/28391, both incorporated herein by reference. A human flt3-ligand cDNA was deposited with the American Type Culture Collection, Rockville, Maryland, USA (ATCC) on August 6, 1993 and assigned accession number ATCC 69382. The deposit was made under the terms of the Budapest Treaty. Flt3-L can be made according to the methods described in the documents cited above.

The term "IL-3" refers to a genus of interleukin-3 polypeptides as described in U.S. Patent No. 5,108,910, incorporated herein by reference. Such polypeptides include analogs that have amino acid sequences that are substantially similar to the native human interleukin-3 amino acid sequences disclosed, for example, in EP publ. Nos. 275,598 and 282,185, each incorporated herein by reference. The term "IL-3" also includes analogs and alleles of IL-3 molecules that exhibit at least some of the biological activity in common with native human IL-3. Exemplary analogs of IL-3 are disclosed in EP Publ. No. 282,185. Other forms of IL-3 include human IL-3[Pro<sup>8</sup>Asp<sup>15</sup>Asp<sup>70</sup>], human IL-3[Ser<sup>8</sup>Asp<sup>15</sup>Asp<sup>70</sup>] and human IL-3[Ser<sup>8</sup>]. A DNA sequence encoding human IL-3 protein suitable for use in the invention is publicly available from the American Type Culture Collection (ATCC) under accession number ATCC 67747. The nomenclature used herein with respect to amino acid sequences in brackets designates which amino acids differ from the native human form. For example, human IL-3[Ser<sup>8</sup>Asp<sup>15</sup>Asp<sup>70</sup>] refers to a human IL-3 protein in

which amino acid 8 has been changed to a serine residue, amino acid 15 has been changed to an aspartic acid residue and the amino acid 70 has been changed to an aspartic acid residue.

The term "IL-4" refers to a polypeptide as described in Mosley et al., *Cell* 59:335 (1989), Idzerda et al., *J. Exp. Med.* 171:861 (1990) and Galizzi et al., *Intl. Immunol.* 2:669 (1990), each of which is incorporated herein by reference. Such IL-4 polypeptide includes analogs that have an amino acid sequence that is substantially similar to the native human IL-4 amino acid sequences described in Mosley et al., Idzerda et al., and Galizzi et al. and which are biologically active in that they are capable of binding to a IL-4 receptor, transducing a biological signal initiated by binding IL-4 receptor, or cross-reacting with anti-IL-4 antibodies. The term "IL-4" also includes analogs of native human IL-4 molecules sufficient to retain biological activity of native human IL-4.

As used herein, "GM-CSF" refers to a genus of proteins as described in U.S. Patent Nos. 5,108,910, and 5,229,496 each of which is incorporated herein by reference. Such proteins include analogs that have an amino acid sequence that is substantially similar to native human GM-CSF amino acid sequences (e.g., as publicly available ATCC 53157 or ATCC 39900), and which are biologically active in that they are capable of binding to a GM-CSF receptor, transducing a biological signal initiated by binding GM-CSF receptor, or cross-reacting with anti-GM-CSF antibodies. Amino acid sequences are disclosed, for example in Anderson, et al., *Proc. Natl. Acad. Sci., USA* 82:6250 (1985). Commercially available GM-CSF (sargramostim, Leukine®) is obtainable from Immunex Corp., Seattle, WA). The term "GM-CSF" also includes analogs of the native human GM-CSF molecules described in U.S. Patent Nos. 5,108,910, and 5,229,496 sufficient to retain biological activity of native human GM-CSF. Exemplary analogs of GM-CSF include, for example, those described in EP Publ. No. 212914 and WO 89/03881, each of which is incorporated herein by reference. Other analogs of GM-CSF also may be used to construct fusion proteins with IL-3. A DNA sequence encoding a particularly preferred GM-CSF protein having potential glycosylation sites removed is publicly available from the ATCC under accession numbers ATCC 67231.

The term "GM-CSF/IL-3 fusion protein" means a C-terminal to N-terminal fusion of GM-CSF and IL-3. The fusion proteins are known and are described in U.S. Patent Nos. 5,199,942, 5,108,910 and 5,073,627, each of which is incorporated herein by reference. A preferred fusion protein is PIXY321 as described in US Patent No. 5,199,942.

The term "c-kit ligand" also known as Mast Cell Growth Factor (MGF), Steel Factor or Stem Cell Factor (SCF), refers to a polypeptide described in EP 423,980, which is incorporated herein by reference, and that claims priority from U.S. Patent application Serial No. 589,701, filed October 1, 1990. Such c-kit ligand polypeptide includes analogs that have an amino acid sequence that is substantially similar to the native human c-kit ligand amino acid sequences described in EP 423,980 and which are biologically active in that they are capable of binding to a c-kit receptor, transducing a biological signal initiated by binding c-kit receptor, or cross-reacting with anti-c-kit ligand antibodies. The term "c-kit ligand" also includes analogs of native human c-kit ligand molecules sufficient to retain biological activity of native human c-kit ligand.

The term "CD40 binding protein" refers to polypeptides that bind CD40, including but not limited to CD40-L and antibodies immunoreactive with CD40, as described in PCT publications WO 93/08207 and W0 96/40918 each of which is incorporated herein by reference. Such CD40 binding proteins include analogs that have an amino acid sequence that is substantially similar to the native human CD40-L amino acid sequences described in the PCT publications, and which are biologically active in that they are capable of binding to CD40, transducing a biological signal initiated by binding CD40, or cross-reacting with anti-CD40 antibodies. The term CD40 binding proteins also includes analogs of native human CD40-L and antibodies reactive with CD40 that are sufficiently homologous to native molecules so as to retain biological activity of native CD40 binding proteins.

The terms "4-1BB-L" and "antibody to 4-1BB" refer to molecules that are described in U.S. Patent No. 5,674,704 and Alderson et al. *Eur. J. Immunol.* 24:2219-2227, 1994, which are incorporated herein by reference. 4-1BB-L includes analogs that have an amino acid sequence that is substantially similar to the native 4-1BB-L amino acid sequence described in the above mentioned publication and which are

biologically active in that they are capable of binding to 4-1BB or transducing a biological signal initiated by binding 4-1BB, such as inducing a proliferative response in stimulated primary T cells. The terms 4-1BB-L and antibodies to 4-1BB also includes analogs of native 4-1BB-L and analogs of antibodies reactive with 4-1BB that are sufficiently homologous to the native compounds so as to retain biological activity of 4-1BB-L and the described antibodies.

The term "interferon alpha" refers to naturally occurring forms of interferon alpha (leukocyte interferon) as isolated from cells, cell lines, tissue, and other sources, as well as recombinant forms, consensus forms, analogs, and variant forms that exhibit interferon alpha biological activity. Hybrid "interferon alpha A/D," also called "Universal Type I Interferon," has been described by Fisher et al., *Biochem Biophys. Res. Commun.* 119(1):108 (1984), incorporated herein by reference. Interferon alpha polypeptides have been described in, inter alia, Pestka et al., *Ann. Rev. Biochem.* 56:727 (1987), Goeddel et al., *Nature* 290:20 (1980), Petska et al., in *Human Cytokines*, Blackwell Scientific Publications 1-16 (1992), Petska, *Semin. Oncol.* 24(3):S9-4 (1997), U.S. 4,414,150, and WO 96/11953, all of which are incorporated herein by reference.

The term "CD30 ligand antagonist" refers to any molecule that interferes with the binding between CD30 ligand and the CD30 receptor. CD30 ligand is described, inter alia, in U.S. Patent No. 5,480,981 which is incorporated herein by reference. CD30 ligand antagonists include, but are not limited to, CD30 ligand antibodies (including CD30 ligand monoclonal antibodies) and soluble CD30 polypeptides and analogs thereof (including soluble CD30-Fc fusion polypeptides). CD30 ligand antagonists may also be antisense nucleic acids, ribozymes, muteins, aptamers, and small molecules that interfere with the CD30-CD30 ligand interaction.

"RANK ligand" ("RANKL") polypeptides are described in, inter alia, WO 98/28426; "TRAIL" polypeptides are described in, inter alia, U.S. Patent No. 5,763,223; "Tek" (also called Tie2, ork) polypeptides are described in, inter alia, U.S. Patent No. 5,447,860. These publications are incorporated herein by reference.

The term "adjuvant" refers to a substance that enhances, augments or potentiates the host's immune response to a vaccine antigen.

The term “antagonist” includes various classes of molecules that are capable of interfering with a specified biological interaction and/or activity. Antagonists include, but are not limited to, antibodies, soluble forms of a target polypeptide, antisense nucleic acids, ribozymes, muteins, aptamers, and small molecules.

5       The procedure for “ex vivo expansion” of hematopoietic stem and progenitor cells is described in U.S. Patent No. 5,199,942, incorporated herein by reference. Briefly, the term means a method comprising: (1) collecting CD34<sup>+</sup> hematopoietic stem and progenitor cells from a patient from peripheral blood harvest or bone marrow explants; and (2) expanding such cells *ex vivo*. In addition to the cellular  
10   growth factors described in Patent 5,199,942, other factors such as flt3-ligand, IL-1, IL-3, c-kit ligand, can be used.

The term “immunogenicity” means relative effectiveness of an immunogen or antigen to induce an immune response.

15       The term “substantially similar” means a variant amino acid sequence preferably that is at least 80% identical to a native amino acid sequence, most preferably at least 90% identical. Percent identity may be determined by visual inspection. Percent identity may be determined using the alignment method of Needleman and Wunsch (J. Mol. Biol. 48:443, 1970) as revised by Smith and Waterman (Adv. Appl. Math 2:482, 1981). Preferably, percent identity is determined  
20   by using a computer program, for example, the GAP computer program version 10.x available from the Genetics Computer Group (GCG; Madison, WI, see also Devereux et al., *Nucl. Acids Res.* 12:387, 1984). The preferred default parameters for the GAP program include: (1) a comparison matrix containing a value of 1 for identities and 0 for non-identities for nucleotides, and the weighted comparison matrix of Gribskov  
25   and Burgess, *Nucl. Acids Res.* 14:6745, 1986, as described by Schwartz and Dayhoff, eds., *Atlas of Protein Sequence and Structure*, National Biomedical Research Foundation, pp. 353-358, 1979 for amino acids; (2) a penalty of 30 (amino acids) or 50 (nucleotides) for each gap and an additional 1 (amino acids) or 3 (nucleotides) penalty for each symbol in each gap; (3) no penalty for end gaps; and (4) no  
30   maximum penalty for long gaps.

Variants may comprise conservatively substituted sequences, meaning that a given amino acid residue is replaced by a residue having similar physiochemical

characteristics. Examples of conservative substitutions include substitution of one aliphatic residue for another, such as Ile, Val, Leu, or Ala for one another, or substitutions of one polar residue for another, such as between Lys and Arg; Glu and Asp; or Gln and Asn. Other such conservative substitutions, for example, substitutions of entire regions having similar hydrophobicity characteristics, are well known. Naturally occurring variants are also encompassed by the invention. Examples of such variants are proteins that result from alternate mRNA splicing events or from proteolytic cleavage of the native protein, wherein the native biological property is retained.

As used herein, "vaccine" means an organism or material that contains an antigen in an innocuous form. The vaccine is designed to trigger an immunoprotective response. The vaccine may be recombinant or non-recombinant. When inoculated into a non-immune host, the vaccine will provoke active immunity to the organism or material, but will not cause disease. Vaccines may take the form, for example, of a toxoid, which is defined as a toxin that has been detoxified but that still retains its major immunogenic determinants; or a killed organism, such as typhoid, cholera and poliomyelitis; or attenuated organisms, that are the live, but non-virulent, forms of pathogens, or it may be antigen encoded by such organism, or it may be a live tumor cell or an antigen present on a tumor cell.

A variety of cell selection techniques are known for identifying and separating CD34<sup>+</sup> hematopoietic stem or progenitor cells from a population of cells. Methods and materials for identifying and selecting such cell types are known. For example, monoclonal antibodies can be used to bind to a marker protein or surface antigen protein found on stem or progenitor cells. Such markers or cell surface antigens for hematopoietic stem cells include CD34 and Thy-1. In one method, antibodies are fixed to a surface, for example, glass beads, and contacted with a mixture of cells suspected of containing stem cells. This permits the antibodies to bind and secure the stem cells to the glass beads. Alternatively, the antibodies can be incubated with the cell mixture and the resulting combination contacted with a surface having an affinity for the antibody-cell complex. Undesired cells and cell matter are removed providing a relatively pure population of stem cells. Stem or progenitor cells having the CD34 marker constitute only about 1% to 3% of the mononuclear cells in the bone marrow.

The amount of CD34<sup>+</sup> stem or progenitor cells in the peripheral blood is approximately 10- to 100-fold less than in bone marrow.

With regard to the particular aspects of the invention, choosing suitable stem or progenitor cell selection means will depend on the desired phenotype of the cell to be isolated. Hematopoietic stem cells are selectable by virtue of their physical characteristics, such as expressing the membrane-bound flt3 receptor, or having the following cellular markers: CD34 or Thy-1. Monoclonal antibodies that recognize any of these antigens have been described in U.S. Patent No. 4,714,680 (anti-My-10) incorporated herein by reference, anti-CD34 is commercially available from Becton Dickinson, Franklin Lakes, NJ), and anti-Thy-1 monoclonal antibodies can be readily generated using the methods described by Dalchau et al., *J. Exp. Med.* 149:576 (1979), incorporated herein by reference. A flt3 receptor binding protein also may be used, such as anti-flt3 monoclonal antibodies or the flt3-ligand. The cell binding protein is brought into contact with the collected cell mixture and the combination is allowed to incubate for a period of time sufficient to permit the binding of the desired cell to the cell binding protein.

An alternative means of selecting the quiescent stem cells is to induce cell death in the dividing, more lineage-committed, cell types using an antimetabolite such as 5-fluorouracil (5-FU) or an alkylating agent such as 4-hydroxycyclophosphamide (4-HC). The non-quiescent cells are stimulated to proliferate and differentiate by the addition of growth factors that have little or no effect on the stem cells, causing the non-stem cells to proliferate and differentiate and making them more vulnerable to the cytotoxic effects of 5-FU or 4-HC. See Berardi et al., *Science*, 267:104 (1995), which is incorporated herein by reference.

Isolation of the hematopoietic stem or progenitor cells can be performed by using, for example, affinity chromatography, antibody-coated magnetic beads, or antibodies fixed to a solid matrix, such as glass beads, flasks, etc. Antibodies that recognize a stem or progenitor cell surface marker can be fused or conjugated to other chemical moieties such as biotin - which can be removed with an avidin or a streptavidin moiety secured to a solid support; fluorochromes useful in fluorescence activated cell sorting (FACS), or the like. Preferably, isolation is accomplished by an immunoaffinity column. Immunoaffinity columns can take any form, but usually

comprise a packed bed reactor. The packed bed in these bioreactors is preferably made of a porous material having a substantially uniform coating of a substrate. The porous material, which provides a high surface area-to-volume ratio, allows for the cell mixture to flow over a large contact area while not impeding the flow of cells out of the bed. Typical substrates include avidin and streptavidin, while other conventional substrates can be used. The substrate should, either by its own properties, or by the addition of a chemical moiety, display high-affinity for a moiety found on the cell-binding protein such as a monoclonal antibody. The monoclonal antibodies recognize a cell surface antigen on the cells to be separated, and are typically further modified to present a biotin moiety. It is well-known that biotin has a high affinity for avidin, and the affinity of these substances thereby removably secures the monoclonal antibody to the surface of the packed bed. Such columns are well known in the art, see Berenson, et al., *J. Cell Biochem.*, 10D:239 (1986). The column is washed with a PBS solution to remove unbound material. Target cells can be released from the beads using conventional methods. Immunoaffinity columns of the type described above that utilize biotinylated anti-CD34 monoclonal antibodies secured to an avidin-coated packed bed are described for example, in PCT Publ. No. WO 93/08268. A variation of this method utilizes cell binding proteins, such as the monoclonal antibodies or flt3-ligand as described above, removably-secured to a fixed surface in the isolating means. The bound cell binding protein then is contacted with the collected cell mixture and allowed to incubate for a period of time sufficient to permit isolation of the desired cells.

Alternatively, the monoclonal antibodies that recognize the cell surface antigens can be labeled with a fluorescent label, e.g., chromophore or fluorophore, and separated by cell sorting according to the presence of absence or the amount of labeled product.

The collected CD34<sup>+</sup> cells are then exposed to either flt3-ligand alone or flt3-ligand in concurrent or sequential combination with one or more of the following cytokines: GM-CSF, TNF- $\alpha$ , IL-3, IL-4, c-kit-ligand, CD40-L, 4-1BB-L or GM-CSF/IL-3 fusion proteins. CD34<sup>+</sup> cells then are allowed to differentiate and commit to cells of the dendritic lineage. The dendritic cells are collected and can either be (a) administered to a patient in order to augment the immune system and T-cell mediated



or B-cell mediated immune responses to antigen, (b) exposed to an antigen prior to administration of the dendritic cells into a patient, (c) transfected with a gene encoding an antigen-specific polypeptide or (d) exposed to an antigen and then allowed to process and present the antigen, ex vivo, to T-cells collected from the patient followed by administration of the antigen-specific T-cells to the patient.

More specifically, the invention provides for the use of an effective amount of flt3-ligand to increase or mobilize dendritic cells in vivo, for example, in the patient's peripheral blood or spleen. By increasing the quantity of the patient's dendritic cells, such cells may themselves be used to present antigen to T cells. For example, the antigen may be one that already exists within the patient, such as a tumor antigen, or a bacterial or viral antigen. Flt3-L may be used, therefore, to boost the patient's lymphocyte-mediated (e.g., T cell and B cell mediated) or myeloid-mediated immune response to the already present antigens thus potentially enabling a more effective antigen-presentation to the patient's T cells.

Further, flt3-L may be used in combination therapies with one or more additional agents to enhance an immune response against tumor, viral or bacterial antigens. For example, CD40 binding proteins, which enhance the ability of dendritic cells to process and present antigens to effector T cells can be administered in combination with flt3-L to dramatically enhance an immune response. Such immune responses can include responses against viral or bacterial antigens that are responsible for infectious diseases and immune responses to tumor antigens. As described in Example 4, a surprising synergy between a CD40 binding protein and flt3-L has been discovered for their combined ability to enhance anti-tumor responses. Representative CD40 binding proteins useful in combination therapy with flt3-L include CD40-L and antibodies immunoreactive with CD40 which are described in PCT publications WO 93/08207 and WO 96/40918.

Additionally, 4-1BB-L and antibodies reactive with 4-1BB, both of which are T-cell co-activation factors, can be administered in combination with flt3-L to dramatically enhance immune responses. 4-1BB-L and antibodies reactive with 4-1BB can be used in combination therapies to enhance immune responses to viral antigens and bacterial antigens responsible for infectious diseases and to enhance immune responses to tumor antigens. More particularly, as described in Example 5,

when used in a combination therapy there is a surprising synergy between flt3-L and a 4-1BB-L or antibodies to 4-1BB for anti-tumor immune responses. 4-1BB-L and antibodies reactive with 4-1BB are described in U.S. Patent No. 5,674,704. The surprising synergy in the above described combination therapies for their ability to dramatically enhance anti-tumor immune responses suggests that stimulating more than one mechanism or more than one cell population is a promising approach to cancer treatment.

Additionally, interferon alpha, RANKL, or a CD30 ligand antagonist can be administered in combination with flt3-L to dramatically enhance immune responses. As described in Example 6, when used in a combination therapy there is a surprising synergy between flt3-L and interferon alpha for anti-tumor immune responses.

Other molecules that may be used in combination with flt3-L according to the present invention include IL-2, IL-12, IL-15, TRAIL, Fas ligand, VEGF antagonists, Tek antagonists, molecules that enhance dendritic cell function, survival, or expansion, molecules that enhance T cell activation or differentiation, molecules that enhance dendritic cell migration including various chemokines, molecules that increase the availability of target cell antigens, such as apoptotic factors and molecules that enhance MHC Class I presentation including the various interferons, angiogenesis inhibitors, inhibitors of immunosuppressive molecules released by tumors including IL-10, VEGF, and TGF- $\beta$ , and tumor-specific antibodies including toxin- or radio-labeled antibodies.

In addition to stimulating an immune response to an antigen that already exists within the patient, flt3-ligand may be administered prior to, concurrently with or subsequent to administration of an antigen to a patient for immunization purposes. Thus, as a vaccine adjuvant, flt3-ligand can generate large quantities of dendritic cells in vivo to more effectively present the antigen. The overall response is a stronger and improved immune response and more effective immunization to the antigen. Further, flt3-L may be administered as a vaccine adjuvant in combination with additional active compounds prior to, concurrently with or subsequent to administration of an antigen to a patient for immunization purposes to enhance an immune response against tumor, viral or bacterial antigens. For example, CD40 binding proteins, such as CD40-L and antibodies to CD40 which enhance the ability of dendritic cells to

present antigens to T cells can be administered in combination with flt3-L to dramatically enhance an immune response. Similarly, 4-1BB-L, antibodies reactive with 4-1BB, interferon alpha, RANKL, or CD30 ligand antagonists can be administered in combination with flt3-L to enhance an immune response and provide  
5 more effective immunization to the antigen.

The systemic administration of flt3-ligand not only is effective as a vaccine adjuvant, but as discussed supra., is effective in augmenting an immune response against previously existing antigens. For example, the inventors have shown that flt3-ligand administration to tumor-bearing mice results in at least a significant decrease in  
10 the growth rate of the tumor, and can result in tumor regression in a large proportion of the mice. The data are presented in more detail in Example 3. Flt3-L therefore is an important cytokine in the generation of an effective immune response in vivo against antigen. Data in Examples 4-6 demonstrate that when used in combination therapy with additional agents, flt3-L can provide a dramatically enhanced immune  
15 response in vivo against antigen.

Because of its ability to generate dendritic cells, flt3-ligand also finds use in promoting the survival of transplanted tissue or organs. When allogeneic organs or other tissue is transplanted into a host they can transfer stem cells, immature dendritic cells, and mature dendritic cells from the donor. These cells are called passenger cells  
20 and such cells can graft into the hematopoietic system of the host. Additionally, stem cells, immature dendritic cells, and mature dendritic cells from the host may graft to the donor organ or tissue. It is possible then to establish a tolerance between the graft and the host since the immature dendritic cells from the host and donor tissue interact with T-cells from the "other side." Such interaction may include the deletion of T-  
25 cells that recognize the major histocompatibility complex (MHC) molecules that the dendritic cells express. In this way, the donor T cells are "screened" so that they fail to recognize and react against the host (i.e., no graft versus host disease) and the host T-cells are screened so that they fail to recognize and react against the graft (i.e., no graft rejection). Thus, a mutual tolerance can be achieved, and the graft is accepted.  
30 Administration of flt3-ligand to the host or donor prior to transplantation would generate increased numbers of dendritic cells in such host or donor and permit increased tolerance and survival of the graft.

For the growth and culture of dendritic cells, a variety of growth and culture media can be used, and the composition of such media can be readily determined by a person having ordinary skill in the art. Suitable growth media are solutions containing nutrients or metabolic additives, and include those that are serum-depleted or serum-based. Representative examples of growth media are RPMI, TC 199, Iscoves modified Dulbecco's medium (Iscove, et al., *F.J. Exp. Med.*, 147:923 (1978)), DMEM, Fischer's, alpha medium, NCTC, F-10, Leibovitz's L-15, MEM and McCoy's. Particular examples of nutrients that will be readily apparent to the skilled artisan include, serum albumin, transferrin, lipids, cholesterol, a reducing agent such as 2-mercaptoethanol or monothioglycerol, pyruvate, butyrate, and a glucocorticoid such as hydrocortisone 2-hemisuccinate. More particularly, the standard media includes an energy source, vitamins or other cell-supporting organic compounds, a buffer such as HEPES, Tris, that act to stabilize the pH of the media, various inorganic salts. Particular reference is made to PCT Publ. No. WO 95/00632, wherein a variety of serum-free cellular growth media is described, such disclosure is incorporated herein by reference.

For any of the ex vivo methods of the invention, peripheral blood progenitor cells (PBPC) and peripheral blood stem cells (PBSC) are collected using apheresis procedures known in the art. See, for example, Bishop et al., *Blood*, vol. 83, No. 2, pp. 610-616 (1994). Briefly, PBPC and PBSC are collected using conventional devices, for example, a Haemonetics Model V50 apheresis device (Haemonetics, Braintree, MA). Four-hour collections are performed typically no more than five times weekly until, for example, approximately  $6.5 \times 10^8$  mononuclear cells (MNC)/kg patient are collected. The cells are suspended in standard media and then centrifuged to remove red blood cells and neutrophils. Cells located at the interface between the two phases (also known in the art as the buffy coat) are withdrawn and resuspended in HBSS. The suspended cells are predominantly mononuclear and a substantial portion of the cell mixture are early stem cells. The resulting stem cell suspension then can be contacted with biotinylated anti-CD34 monoclonal antibodies or other cell-binding means. The contacting period is maintained for a sufficient time to allow substantial interaction between the anti-CD34 monoclonal antibodies and the CD34 antigens on the stem cell surface. Typically, times of at least one hour are

5 sufficient. The cell suspension then is brought into contact with the isolating means provided in the kit. The isolating means can comprise a column packed with avidin-coated beads. Such columns are well known in the art, see Berenson, et al., *J. Cell Biochem.*, 10D:239 (1986). The column is washed with a PBS solution to remove unbound material. Target stem cells can be released from the beads and from anti-CD34 monoclonal antibody using conventional methods. The stem cells obtained in this manner can be frozen in a controlled rate freezer (e.g., Cryo-Med, Mt. Clemens, MI), then stored in the vapor phase of liquid nitrogen. Ten percent dimethylsulfoxide can be used as a cryoprotectant. After all collections from the donor have been made, the stem cells are thawed and pooled. Aliquots containing stem cells, growth medium, such as McCoy's 5A medium, 0.3% agar, and at least one of the expansion factors: recombinant human GM-CSF, IL-3, recombinant human flt3-ligand, and recombinant human GM-CSF/IL-3 fusion molecules (PIXY321) at concentrations of approximately 200 U/mL, are cultured and expanded at 37 °C in 5% CO<sub>2</sub> in fully humidified air for 14 days. Optionally, human IL-1 $\alpha$  or IL-4 may be added to the cultures. The most preferred combination of expansion factors comprises flt3-ligand plus either IL-3 or a GM-CSF/IL-3 fusion protein.

For in vivo administration to humans, flt3-ligand can be formulated according to known methods used to prepare pharmaceutically useful compositions. Flt3-L can be combined in admixture, either as the sole active material or with other known active materials (e.g. CD40 binding proteins, such as CD40-L or antibodies reactive with CD40, 4-1BB-L or antibodies reactive with 4-1BB, interferon alpha, RANKL, CD30 ligand antagonists), with pharmaceutically suitable diluents (e.g., Tris-HCl, acetate, phosphate), preservatives (e.g., Thimerosal, benzyl alcohol, parabens), emulsifiers, solubilizers, adjuvants and/or carriers. Suitable carriers and their formulations are described in Remington's Pharmaceutical Sciences, 16th ed. 1980, Mack Publishing Co. In addition, such compositions can contain flt3-ligand complexed with polyethylene glycol (PEG), metal ions, or incorporated into polymeric compounds such as polyacetic acid, polyglycolic acid, hydrogels, etc., or incorporated into liposomes, microemulsions, micelles, unilamellar or multilamellar vesicles, erythrocyte ghosts or spheroblasts. Such compositions will influence the

physical state, solubility, stability, rate of *in vivo* release, and rate of *in vivo* clearance of flt3-ligand.

Flt3-L can be administered topically, parenterally, or by inhalation. The term "parenteral" includes subcutaneous injections, intravenous, intramuscular, intracisternal injection, or infusion techniques. These compositions will typically contain an effective amount of the flt3-ligand, alone or in combination with an effective amount of any other active material, e.g. those described above. Effective amounts, or dosages, and desired concentrations of flt3-L and active compounds (e.g. CD40-L and/or 4-1BB-L, antibodies reactive with 4-1BB, interferon alpha, RANKL, CD30 ligand antagonists) contained in the compositions may vary depending upon many factors, including the intended use, patient's body weight and age, and route of administration. Preliminary doses can be determined according to animal tests, and the scaling of dosages for human administration can be performed according to art-accepted practices. Keeping the above description in mind, typical dosages of flt3-ligand may range from about 10 µg per square meter to about 1000 µg per square meter. A preferred dose range is on the order of about 100 µg per square meter to about 300 µg per square meter.

In addition to the above, the following examples are provided to illustrate particular embodiments and not to limit the scope of the invention.

#### **EXAMPLE 1** **Generation of Dendritic Cells**

This Example describes a method for using flt3-ligand to generate large numbers of dendritic cells ex vivo. Cells having the CD34<sup>+</sup> phenotype are isolated as described above, for example, first by generating a buffy coat of cells using a procedure described supra. Cells from the buffy coat are then incubated with a CD34 specific monoclonal antibody. The CD34<sup>+</sup> cells which are selected then are cultured in McCoy's enhanced media with 20 ng/ml each of GM-CSF, IL-4, TNF-α, or 100 ng/ml flt3-ligand or c-kit ligand. The culture is continued for approximately two weeks at 37°C in 10% CO<sub>2</sub> in humid air. Cells then are sorted by flow cytometry for CD1a<sup>+</sup> and HLA-DR<sup>+</sup> expression. The combination of GM-CSF, IL-4 and TNF-α,

resulted in a six to seven-fold increase in the number of cells obtained after two weeks of culture. The combination of flt3-ligand and c-kit ligand resulted in an additive 12-13-fold increase in absolute cell numbers. This correlated with an 18-fold expansion with either flt3-ligand or c-kit ligand or to a 34-fold expansion with the combination of flt3-ligand and c-kit ligand. Phenotypic analysis of the cells showed that between 60-70% of the cells were HLA-DR<sup>+</sup>, CD86<sup>+</sup>, with 40-50% of the cells expressing CD1a in all factor combinations examined. The addition of flt3-ligand increased the absolute number of CD1a<sup>+</sup> cells by 5-fold. c-Kit ligand increased those cells by 6.7-fold and the combination of flt3-ligand and c-kit ligand by 11-fold. Functional analysis of the resultant cells in an MLR revealed that the presence of flt3-ligand or c-kit ligand did not affect the stimulatory capacity of the resultant dendritic cells while increasing the numbers attained.

## **EXAMPLE 2**

### **Use of Flt3-L in Dendritic Cell Expansion**

This Example describes a method for using flt3-ligand for dendritic cell expansion. Prior to cell collection, it may be desirable to mobilize or increase the numbers of circulating PBPC and PBSC. Mobilization can improve PBPC and PBSC collection, and is achievable through the intravenous administration of flt3-ligand or sargramostim (Leukine<sup>®</sup>, Immunex Corporation, Seattle, Washington) to the patients prior to collection of such cells. Other growth factors such as CSF-1, GM-CSF, c-kit ligand, G-CSF, EPO, IL-1, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-11, IL-12, IL-13, IL-14, IL-15, GM-CSF/IL-3 fusion proteins, LIF, FGF and combinations thereof, can be likewise administered in sequence, or in concurrent combination with flt3-ligand. Mobilized or non-mobilized PBPC and PBSC are collected using apheresis procedures known in the art. See, for example, Bishop et al., *Blood*, vol. 83, No. 2, pp. 610-616 (1994). Briefly, PBPC and PBSC are collected using conventional devices, for example, a Haemonetics Model V50 apheresis device (Haemonetics, Braintree, MA). Four-hour collections are performed typically no more than five times weekly until approximately  $6.5 \times 10^8$  mononuclear cells (MNC)/kg patient are collected. Aliquots of collected PBPC and PBSC are assayed

- for granulocyte-macrophage colony-forming unit (CFU-GM) content by diluting approximately 1:6 with Hank's balanced salt solution without calcium or magnesium (HBSS) and layering over lymphocyte separation medium (Organon Teknika, Durham, North Carolina). Following centrifugation, MNC at the interface are
- 5 collected, washed and resuspended in HBSS. One milliliter aliquots containing approximately 300,000 MNC, modified McCoy's 5A medium, 0.3% agar, 200 U/mL recombinant human GM-CSF, 200 u/mL recombinant human IL-3, and 200 u/mL recombinant human G-CSF are cultured at 37°C in 5% CO<sub>2</sub> in fully humidified air for 14 days. Optionally, flt3-ligand or GM-CSF/IL-3 fusion molecules (PIXY 321) may
- 10 be added to the cultures. These cultures are stained with Wright's stain, and CFU-GM colonies are scored using a dissecting microscope (Ward et al., *Exp. Hematol.*, 16:358 (1988). Alternatively, CFU-GM colonies can be assayed using the CD34/CD33 flow cytometry method of Siena et al., *Blood*, Vol. 77, No. 2, pp 400-409 (1991), or any other method known in the art.
- 15 CFU-GM containing cultures are frozen in a controlled rate freezer (e.g., Cryo-Med, Mt. Clemens, MI), then stored in the vapor phase of liquid nitrogen. Ten percent dimethylsulfoxide can be used as a cryoprotectant. After all collections from the patient have been made, CFU-GM containing cultures are thawed and pooled. The thawed cell collection is contacted with flt3-ligand either alone, sequentially or in
- 20 concurrent combination with other cytokines listed above. Such exposure to flt3-ligand will drive the CFU-GM to dendritic cell lineage. The dendritic cells are reinfused intravenously to the patient.

### **EXAMPLE 3**

#### **Use of Flt3-L in Augmenting Anti-tumor Immune Responses**

- 25 This Example describes a method for using flt3-L to augment anti-tumor immune responses in vivo. Female C57BL/10J (B10) mice (The Jackson Laboratory, Bar Harbor, ME) were injected with  $5 \times 10^5$  viable B10.2 fibrosarcoma tumor cells by intradermal injection in a midline ventral position in a total volume of 50µl. The fibrosarcoma B10.2 line is of B10 origin and has been described previously, see
- 30 Lynch et al., *Euro. J. Immunol.*, 21:1403 (1991) incorporated herein by reference. The fibrosarcoma B10.2 line was induced by subcutaneous implantation of a paraffin



pellet containing 5 mg of methylcholanthrene. The tumor cell line was maintained in vitro in  $\alpha$ - modified MEM containing 5% FBS, 2nM L-glutamine, 50U/ml penicillin and 50  $\mu$ g/ml streptomycin. Recombinant human flt3-L (10 $\mu$ g/injection) was administered on a daily basis over a 19-day period (unless otherwise noted) by

5 subcutaneous injection in a total volume of 100  $\mu$ l. Control mice were similarly injected with a similar volume of buffer containing 100 ng MSA. Tumor growth rates were determined by plotting the tumor size versus time after tumor challenge. Tumor size was calculated as the product of two perpendicular diameters, measured by

10 calipers, and is expressed as the mean tumor size of only those mice bearing a tumor within a particular treatment group. The number of mice bearing tumors compared to the number challenged for each treatment group at the termination of an experiment are shown in the data below.

From Table I, the data is a compilation of six different experiments wherein B10.2 tumor-bearing mice were either treated with flt3-ligand or MSA. Complete

15 tumor regression was observed in 19 of 50 flt3-ligand treated mice compared to 1 of 30 in MSA-treated mice ( $p < 0.0001$  using Fishers Exact Test). The rate of tumor growth in flt3-ligand treated mice (mean tumor size in tumor-bearing mice at week 5 post-tumor challenge was 60  $\pm$  8 mm<sup>2</sup>) was significantly reduced ( $p.0001$  by

Analysis of Variance) compared to MSA-treated mice (mean tumor size at week 5

20 post-tumor challenge was 185  $\pm$  17 mm<sup>2</sup>).

**TABLE I**  
B10.2 Fibrosarcoma +/- Flt3-L Composite of Six Experiments  
Tumor Size (mm<sup>2</sup>)

Weeks Post Tumor Challenge	MSA Control (100ng/day)	Standard Error	Flt3-L (10 $\mu$ g/day)	Standard Error
0	0	0	0	0
1	25	2.6	24	2.2
2	62	7.5	49	3.6
3	98	10.6	49	3.9
4	149	14.5	50	5
5	185	16.8	60	8.4

Tumor size was sharply retarded with flt3-L compared to the control. Therefore, the data show that flt3-L is an important cytokine in the augmentation of the immune response against tumor and foreign antigens, and in particular against cancer.

5

**EXAMPLE 4****Use of Flt3-L in Combination Therapy to Activate an Immune Response**

This Example demonstrates the use of flt3-L in combination with a CD40 binding protein to augment anti-tumor immune responses in vivo. In one study C57BL/10J (B10) mice (The Jackson Laboratory, Bar Harbor, ME) were injected intradermally with  $5 \times 10^5$  cells of the viable B10.2 fibrosarcoma tumor cell line described in Example 3 above, and the mice were subdivided into four sets each containing eight mice. In one set of mice, beginning on the same day as the tumor injections, recombinant human flt3-L (10 $\mu$ g/injection/day) was administered to each mouse on a daily basis over a 20-day period by subcutaneous injection in a total volume of 100  $\mu$ l. In another set of mice each mouse was injected with the same volume and amount of CD40-L each day for 20 days. In a third set, each mouse was injected with a combination of 10  $\mu$ g flt3-L and 10 $\mu$ g of CD40-L per day for 20 days. Control mice were similarly injected with a similar volume of buffer containing 100 ng MSA. Tumor growth rates were determined measuring tumor size each week after tumor challenge over a 6 week period. Tumor size was calculated as the product of two perpendicular diameters, measured by calipers, and is expressed as the mean tumor size. Only mice bearing tumors within each group were considered in determining the mean size. The frequency of tumor rejections was also determined and expressed as the number of mice bearing no tumors compared to the number challenged for each treatment group at the termination of an experiment.

Table II provides data in the form of mean tumor size in tumor bearing animals, calculated once a week over a 6 week period post challenge. Table III details the percent frequency of tumor rejection for each set of mice over a 6 week period post challenge. The data demonstrate that for tumor bearing mice, the mean tumor size mice in mice treated with flt3-L and flt3-L in combination with CD40-L is comparable and less than the tumor size in tumor bearing control mice. Significantly, however, mice receiving the combination therapy experienced significantly higher

- frequency of tumor rejection than mice receiving flt3-L or CD40-L alone. More specifically, 6 weeks post challenge, 62.5% of the mice receiving the combination therapy experienced complete tumor rejection. By contrast, at 6 weeks post challenge, 25% of the mice receiving flt3-L alone experienced complete tumor rejection and none of the mice receiving CD40-L alone or MSA experienced complete tumor rejection.

**TABLE II**  
B10.2 Fibrosarcoma  
Tumor Size (mm<sup>2</sup>)

Weeks Post Tumor Challenge	MSA Control (100ng/day)	Flt3-L (10µg/day)	CD40-L (10µg/day)	Flt3-L/CD40-L (each 10µg/day)
1	28	24	25	23
2	68	51	62	46
3	135	64	107	59
4	239	81	212	80
5	351	117	343	137
6	482	159	493	213

**TABLE III**  
B10.2 Fibrosarcoma  
% Frequency of Tumor Rejection

Weeks Post Tumor Challenge	MSA Control (100ng/day)	Flt3-L (10µg/day)	CD40-L (10µg/day)	Flt3-L/CD40-L (each 10µg/day)
1	0	0	0	0
2	0	0	0	0
3	0	0	0	0
4	0	12.5	0	50
5	0	25	0	62.5
6	0	25	0	62.5

- In another study C3H/HeN mice were injected intradermally with  $5 \times 10^5$  cells of a very aggressive tumor, the 87 fibrosarcoma tumor cell line (generated by chronic exposure of C3H/HeN(MTV-) mice to ultraviolet radiation). The mice were then subdivided into four sets, each containing ten mice. In one set of mice, beginning the

day after the tumor injections, recombinant human flt3-L (10 $\mu$ g/injection/day) was administered to each mouse on a daily basis over a 20-day period by subcutaneous injection. In another set of mice, each mouse was injected with the same volume and amount of CD40-L each day, beginning at day 7 and continuing to day 20. In a third set, each mouse received a combination therapy of CD40-L and flt3-L. The combination therapy included 10 $\mu$ g/day of flt3-L beginning the day after tumor injection and continuing until day 20 and 10 $\mu$ g/day of CD40-L beginning at day 7 and continuing until day 20. Mice in a control group were similarly injected with a similar volume of buffer containing 100 ng MSA. Tumor growth rates were determined by measuring tumor size each week post tumor challenge over a 6 week period. Tumor size was calculated as the product of two perpendicular diameters, measured by calipers, and is expressed as the mean tumor size. Only mice bearing tumors were considered in determining the mean size. The frequency of tumor rejections was also determine and expressed as the number of mice bearing no tumors compared to the number challenged for each treatment group at the termination of an experiment.

Table IV provides data in the form of mean tumor size in tumor bearing animals, calculated once a week over a 6 week period post challenge. Table V details the percent frequency of tumor rejection for each set of mice over a 6 week period post challenge. The data demonstrate that for tumor bearing mice, the mean tumor size mice in mice treated with flt3-L in combination with CD40-L is significantly less than the tumor size in tumor bearing control mice and mice bearing tumors in the groups receiving only flt3-L and only CD40-L. Significantly, mice receiving the combination therapy experienced significantly higher frequency of tumor rejection than mice receiving flt3-L or CD40-L alone. More specifically, 6 weeks post challenge, 50% of the mice receiving the combination therapy experienced complete tumor rejection. By contrast, at 6 weeks post challenge, 10% of the mice receiving flt3-L alone experienced complete tumor rejection and none of the mice receiving CD40-L alone or MSA experienced complete tumor rejection.

The observations described above demonstrate that a flt3-L and CD40-L combination therapy can dramatically up-regulate anti-tumor immune responses in vivo. The data indicate that a synergy exists between flt3-L and the CD40 binding

protein, CD40-L, in that when used alone flt3-L and CD40-L show little or no tumor rejection. In combination the rejection is dramatic. In addition to synergy, studies indicated that the combination of CD40-L and flt3-L induced expression of IL-12 mRNA in the tumors.

5

**TABLE IV**  
87 Fibrosarcoma  
Tumor Size (mm<sup>2</sup>)

Weeks Post Tumor Challenge	MSA Control (100ng/day)	Flt3-L (10µg/day)	CD40-L (10µg/day)	Flt3-L/CD40-L (each 10µg/day)
1	23	23	30	29
2	54	53	49	34
3	108	94	87	44
4	176	159	144	67
5	286	256	247	115
6	465	439	410	239

10

**TABLE V**  
87 Fibrosarcoma  
% Frequency of Tumor Rejection

Weeks Post Tumor Challenge	MSA Control (100ng/day)	Flt3-L (10µg/day)	CD40-L (10µg/day)	Flt3-L/CD40-L (each 10µg/day)
1	0	0	0	0
2	0	0	0	0
3	0	0	0	30
4	0	10	0	40
5	0	10	0	50
6	0	10	0	50

### **EXAMPLE 5**

#### **Use of Flt3-L in Combination Therapy to Activate an Immune Response**

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This Example demonstrates the use of flt3-L in combination with an antibody reactive with 4-1BB to augment anti-tumor immune responses in vivo. In one study C57BL/10J (B10) mice (The Jackson Laboratory, Bar Harbor, ME) were injected intradermally with  $5 \times 10^5$  cells of the viable B10.2 fibrosarcoma tumor cell line described in Example 3 above. In one set of mice, beginning on the same day as the

tumor injections, recombinant human flt3-L (10 $\mu$ g/injection/day) was administered to each mouse on a daily basis over a 14-day period by subcutaneous injection in a total volume of 100  $\mu$ l. In another set of mice each mouse was injected IP with 100 $\mu$ g of rat anti mu 4-1BB (clone m6) on days 3 and 6 post tumor challenge. In a third set, each mouse was injected with 100  $\mu$ g rat anti mu 4-1BB clone m6 on days 13 and 16. A fourth set of mice were injected with a combination of 10  $\mu$ g flt3-L on days 1-14 and 100 $\mu$ g of rat anti mu 4-1BB clone m6 on days 13 and 16 post tumor challenge. Control mice were injected with buffer containing 100 ng MSA. Tumor growth rates were determined measuring tumor size each week after tumor challenge over a 5 week period. Tumor size was calculated as the product of two perpendicular diameters, measured by calipers, and is expressed as the mean tumor size in mm<sup>2</sup>. Only mice bearing tumors within each group were considered in determining the mean tumor size. The percent incidence of tumors was also determine and expressed as the number of mice bearing tumors compared to the number challenged for each treatment group at the termination of an experiment.

Table VI provides data in the form of mean tumor size in tumor bearing animals, calculated once a week over an 8 week period post challenge. Table VII details the percent incidence of tumors for each set of mice over an 8 week period post challenge. The data demonstrate that for tumor bearing mice, the mean tumor size in mice treated with flt3-L alone and the mean tumor size in mice treated with the anti 4-1BB regimen are similar. However, when flt3-L in combination with an antibody reactive with 4-1BB is administered to mice, mean tumor size in tumor bearing mice is remarkably decreased. Specifically, at 5 weeks post tumor challenge, mice receiving the combination therapy had a mean tumor size of 0, indicating 100% tumor rejection. This data is supported by the numbers in Table VII which demonstrate that mice receiving the combination therapy experienced significantly lower incidence of tumors than mice receiving flt3-L or 4-1BB antibody alone. More specifically, at 5 weeks post challenge, all of the mice receiving the combination therapy experienced complete tumor rejection (0% tumor incidence). By contrast, at 5 weeks post challenge, 70% of the mice receiving flt3-L alone had tumors and 50%

and 70% of the mice receiving 4-1BB antibody alone had tumors. This data provides evidence that anti-4-1BB synergizes with flt3-L in enhancing immune response.

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**TABLE VI**  
B10.2 Fibrosarcoma  
Tumor Size (mm<sup>2</sup>)

Weeks Post Tumor Challenge	MSA Control (100ng/day)	Flt3-L (10µg/ day)	anti 4-1BB (100µg, day 3 and 6)	anti 4-1BB (100 µg days 13 and 16)	anti 4- 1BB(days 13 and 16) and flt3-L
1	28	15	25	25	20
2	60	35	55	60	35
3	85	35	55	40	15
4	125	45	60	50	8
5	200	55	70	40	0
6	280	95	80	45	0
7		125	130	115	0
8			160	135	0

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**TABLE VII**  
B10.2 Fibrosarcoma  
% Tumor Incidence

Weeks Post Tumor Challenge	MSA Control (100ng/day)	Flt3-L (10µg/ day)	anti 4-1BB (days 3 and 6)	anti 4-1BB (days 13 and 16)	Flt3-L and anti 4-1BB (days 13 and 16)
1	100	100	100	100	100
2	100	100	100	100	90
3	100	100	90	100	80
4	100	90	80	50	30
5	100	70	70	50	0
6	100	60	60	40	0
7	100	60	50	20	0
8	100	60	50	20	0

**EXAMPLE 6****Use of Flt3-L in Combination Therapy to Activate an Immune Response**

This Example demonstrates the use of flt3-L in combination with interferon alpha to augment anti-tumor immune responses in vivo. In one study the B10.2 fibrosarcoma tumor cell line (described in Example 3 above) was implanted in C57BL/10J (B10) mice on day 0. One set of mice (n=10) was treated with recombinant human flt3-L (50 µg/day, by subcutaneous injection) on days 10 to 29 post tumor challenge. Another set of mice (n=5) was treated with human interferon alpha (interferon alpha A/D; 60,000 U/day, by subcutaneous injection) on days 21 to 25 post tumor challenge. A third set of mice (n=5) was treated with flt3-L on days 10 to 29 and also with interferon alpha on days 21 to 25. Control mice were injected with buffer containing 100 ng MSA. Tumor growth rates were determined by measuring tumor size over a 7 week period. Tumor size was calculated as the product of two perpendicular diameters, measured by calipers, and is expressed as the mean tumor size in mm<sup>2</sup>. Only mice bearing tumors within each group were considered in determining the mean tumor size. The percent incidence of tumors was also determined (i.e., the number of mice bearing tumors compared to the number challenged) for each treatment group.

Table VIII shows the mean tumor size in tumor bearing animals, and Table IX shows the percent incidence of tumors. This data demonstrates that interferon alpha synergizes with flt3-L in enhancing immune response in the B10.2 tumor model. Most significantly, the tumor rejection rate was 40% for flt3-L alone and 80% for the combination of flt3-L and interferon alpha.



Table VIII  
B10.2 Fibrosarcoma  
Tumor size (mm<sup>2</sup>)

Days Post Tumor Challenge	MSA (100ng/day) (n=10)	Flt3L (50 µg/day, days 1-20) (n=10)	IFNα (60K U/day, days 11-15) (n=5)	FL+ IFNα (n=5)
7	17	16	16	16
14	42	43	46	41
21	71	76	77	69
28	125	107	125	101
35	183	126	198	90
42	238	131	267	55
49	322	219	364	186

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Table IX  
B10.2 Fibrosarcoma  
% Tumor incidence

Days Post Tumor Challenge	MSA (100ng/day) (n=10)	Flt3L (50 µg/day, days 1-20) (n=10)	IFNα (60K U/day, days 11-15) (n=5)	FL+ IFNα (n=5)
7	100	100	100	100
14	100	100	100	100
21	100	100	100	80
28	100	100	100	80
35	100	100	100	80
42	100	80	100	60
49	100	60	100	20

What is claimed is:

1. A method for augmenting an immune response in a patient comprising the step of administering flt3-ligand and one or more compounds selected from the group consisting of CD40 binding protein, antibody reactive with 4-1BB, 4-1BB-L, interferon alpha, RANKL, and CD30 ligand antagonists to the patient.
2. A method according to claim 1, further comprising the step of administering one or more of the molecules selected from the group consisting of GM-CSF, IL-4, TNF- $\alpha$ , IL-3, c-kit ligand, and fusions of GM-CSF and IL-3.
3. A method according to claim 1 wherein the patient has an infectious disease.
4. A method according to claim 3, further comprising the step of administering one or more of the molecules selected from the group consisting of GM-CSF, IL-4, TNF- $\alpha$ , IL-3, c-kit ligand, and fusions of GM-CSF and IL-3.
5. A method according to claim 3, wherein the infectious disease is HIV.
6. A method according to claim 1 wherein the patient has a cancerous or neoplastic disease.
7. A method according to claim 6, further comprising the step of administering one or more of the molecules selected from the group consisting of GM-CSF, IL-4, TNF- $\alpha$ , IL-3, c-kit ligand, and fusions of GM-CSF and IL-3.
8. A preparation of dendritic cells having at least two cell surface markers selected from the group consisting of CD1a, HLA-DR and CD86, produced by contacting hematopoietic stem or progenitor cells with flt3-ligand.

9. A dendritic cell preparation according to claim 8 produced further by contacting the hematopoietic stem or progenitor cells with one or more compounds selected from the group consisting of GM-CSF, IL-4, TNF- $\alpha$ , IL-3, c-kit ligand, fusions of GM-CSF and IL-3, CD40 binding protein, RANKL, and interferon alpha.
10. A method of preparing an antigen-presenting dendritic cell population comprising the steps of:
- (a) contacting hematopoietic stem or progenitor cells with flt3-ligand in an amount sufficient to generate a dendritic cell population;
  - (b) either (i) exposing the dendritic cells to an antigen-specific peptide or (ii) transfecting the dendritic cells with a gene encoding an antigen-specific peptide; and
  - (c) allowing the dendritic cells to process and express the antigen.
11. An antigen-presenting dendritic cell population produced by the method of claim 10.
12. A dendritic cell population according to claim 11 wherein step (a) of the process further comprises contacting the hematopoietic stem or progenitor cells with one or more compounds selected from the group consisting of GM-CSF, IL-4, TNF- $\alpha$ , IL-3, c-kit ligand, fusions of GM-CSF and IL-3, CD40 binding protein, RANKL, and interferon alpha.
13. A method according to claim 12, wherein step (a) further comprises contacting the hematopoietic stem or progenitor cells with a molecule selected from the group consisting of GM-CSF, IL-4, TNF- $\alpha$ , IL-3, c-kit ligand, fusions of GM-CSF and IL-3, CD40 binding protein, RANKL, and interferon alpha.
14. A method of enhancing a mammal's immune response to a vaccine antigen, comprising the steps of administering to such mammal an immunogenic amount of the vaccine antigen, flt3-ligand, and one or more compounds selected from the group consisting of CD40 binding protein, antibody reactive with 4-1BB, 4-1BB-L, interferon alpha, RANKL, and CD30 ligand antagonists to the patient.

**ABSTRACT OF THE DISCLOSURE**

Flt3-ligand can be used to generate large numbers of dendritic cells from hematopoietic progenitor and stem cells. Flt3-ligand can be used to augment immune responses in vivo, and expand dendritic cells ex vivo. Such dendritic cells can then  
5 be used to present tumor, viral or other antigens to naive T cells, can be useful as vaccine adjuvants. When flt3-L is used and/or administered in combination with other reactive agents, e.g. CD40 binding proteins, 4-1BBL or antibodies reactive with 4-1BB, CD30 ligand antagonists, RANKL, and/or interferon alpha the combination further enhances immune responses and the effectiveness of vaccine adjuvants.